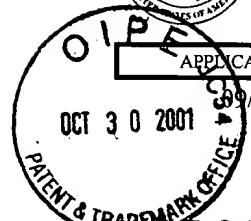


#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/912,589         | 07/24/2001          | David P. Bour         | M-8772 US              |

**CONFIRMATION NO. 7208**  
**FORMALITIES LETTER**



\*OC00000006507693\*

MARK E. Schmidt  
SKJERVEN MORRILL MacPHERSON LLP  
Suite 700  
25 Metro Drive  
San Jose, CA 95110-1349

Date Mailed: 09/04/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

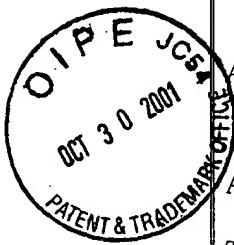
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01 FC:105 130.00 CH

12-19-01

Secter 44  
JF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s): David P. Bour, Nathan F. Gardner, Werner K. Goetz, Stephen A. Stockman, Tetsuya Takeuchi, Ghulam Hasnain, Christopher P. Kocot, Mark R. Hueschen  
Assignee: LumiLeds Lighting, U.S., LLC  
Title: Light Emitting Diodes With Graded Composition Active Regions  
Serial No.: 09/912,589 Filing Date: July 24, 2001  
Examiner: Unknown Group Art Unit: Unknown  
Docket No.: M-8772 US

San Jose, California  
October 30, 2001

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-  
PROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on September 4, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63.
2. Copy of Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

LAW OFFICES OF  
SKJERVEN MORRILL  
MacPHERSON LLP  
25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 453-9200  
FAX (408) 453-7979

- |    |   |                  |
|----|---|------------------|
| 1. | Surcharge for filing declaration on a date later than the filing date of the application. | \$130.00         |
| 2. | Statutory basic filing fee.   |                  |
| 3. | <b>TOTAL FEES:</b>  | <b>\$ 130.00</b> |

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of July 24, 2001. Please telephone the undersigned at (408) 453-9200, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

**EL884816631US**

Respectfully submitted,

*Mark E. Schmidt*

Mark E. Schmidt  
Agent for Applicant(s)  
Reg. No. 47,063

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